

Form **990-EZ**

# Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

**2019**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form, as it may be made public.

▶ Go to [www.irs.gov/Form990EZ](http://www.irs.gov/Form990EZ) for instructions and the latest information.

**A For the 2019 calendar year, or tax year beginning** January 1 , 2019, and ending December 31 , 20 19

**B** Check if applicable:

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization ?1

CARTHA

Number and street (or P.O. box if mail is not delivered to street address) ?1

85 Leamer Court

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

Iowa City, IA 52242-3202

**D** Employer identification number ?1  
205547610

**E** Telephone number  
3192489625

**F** Group Exemption  
Number ▶ ?1

**G** Accounting Method: ☒ Cash ☐ Accrual Other (specify) ▶

**I** Website: ▶ [www.cartha.org](http://www.cartha.org)

**H** Check ☒ if the organization is **not**  
required to attach Schedule B ?1  
(Form 990, 990-EZ, or 990-PF).

**J** Tax-exempt status (check only one) — ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

**L** Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets  
(Part II, column (B)) are \$500,000 or more, file Form 990 instead of Form 990-EZ . . . . . ▶ \$

## Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I) ?1

Check if the organization used Schedule O to respond to any question in this Part I . . . . . ☒

Revenue	1	Contributions, gifts, grants, and similar amounts received . . . . .	1	12901
	2	Program service revenue including government fees and contracts . . . . .	2	18000
	3	Membership dues and assessments . . . . .	3	
	4	Investment income . . . . .	4	
	5a	Gross amount from sale of assets other than inventory . . . . .	5a	
	b	Less: cost or other basis and sales expenses . . . . .	5b	
	c	Gain or (loss) from sale of assets other than inventory (subtract line 5b from line 5a) . . . . .	5c	
	6	Gaming and fundraising events:		
	a	Gross income from gaming (attach Schedule G if greater than \$15,000) . . . . .	6a	
	b	Gross income from fundraising events (not including \$ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000) . . . . .	6b	
c	Less: direct expenses from gaming and fundraising events . . . . .	6c		
d	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c) . . . . .	6d		
7a	Gross sales of inventory, less returns and allowances . . . . .	7a		
b	Less: cost of goods sold . . . . .	7b		
c	Gross profit or (loss) from sales of inventory (subtract line 7b from line 7a) . . . . .	7c		
8	Other revenue (describe in Schedule O) . . . . .	8		
9	<b>Total revenue.</b> Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8 . . . . . ▶	9	30901	
Expenses	10	Grants and similar amounts paid (list in Schedule O) . . . . .	10	
	11	Benefits paid to or for members . . . . .	11	
	12	Salaries, other compensation, and employee benefits ?1 . . . . .	12	
	13	Professional fees and other payments to independent contractors ?1 . . . . .	13	5223
	14	Occupancy, rent, utilities, and maintenance . . . . .	14	972
	15	Printing, publications, postage, and shipping . . . . .	15	
	16	Other expenses (describe in Schedule O) ?1 . . . . .	16	7394
17	<b>Total expenses.</b> Add lines 10 through 16 . . . . . ▶	17	13589	
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 9) . . . . .	18	17312
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return) . . . . .	19	23744
	20	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	20	0
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 . . . . . ▶	21	41056

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 106421

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Check if the organization used Schedule O to respond to any question in this Part II . . . . . ☐

**Part III** **Statement of Program Service Accomplishments** (see the instructions for Part III)

Check if the organization used Schedule O to respond to any question in this Part III ☒ Expenses

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

**Expenses**  
(Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)

- |                |  |           |              |
|----------------|--|-----------|--------------|
| <b>Part IV</b> | <b>List of Officers, Directors, Trustees, and Key Employees</b> (list each one even if not compensated—see the instructions for Part IV) | <b>32</b> | <b>13589</b> |
|----------------|--|-----------|--------------|

Check if the organization used Schedule O to respond to any question in this Part IV ☒

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**Part V Other Information** (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V.) Check if the organization used Schedule O to respond to any question in this Part V ☒

	Yes	No
<b>33</b> Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O . . . . .		<input checked="" type="checkbox"/>
<b>34</b> Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O. See instructions . . . . .		<input checked="" type="checkbox"/>
<b>35a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)? . . . . .		<input checked="" type="checkbox"/>
<b>b</b> If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O . . . . .		
<b>c</b> Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III . . . . .		
<b>36</b> Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N . . . . .		<input checked="" type="checkbox"/>
<b>37a</b> Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ <b>37a</b> 0		
<b>b</b> Did the organization file <b>Form 1120-POL</b> for this year? . . . . .		<input checked="" type="checkbox"/>
<b>38a</b> Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee; or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return? . . . . .		<input checked="" type="checkbox"/>
<b>b</b> If "Yes," complete Schedule L, Part II, and enter the total amount involved . . . . .		
<b>39</b> Section 501(c)(7) organizations. Enter:		
<b>a</b> Initiation fees and capital contributions included on line 9 . . . . .		
<b>b</b> Gross receipts, included on line 9, for public use of club facilities . . . . .		
<b>40a</b> Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ; section 4912 ▶ 0 ; section 4955 ▶ 0		
<b>b</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .		<input checked="" type="checkbox"/>
<b>c</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 . . . . .		
<b>d</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization . . . . .		
<b>e</b> All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T . . . . .		<input checked="" type="checkbox"/>
<b>41</b> List the states with which a copy of this return is filed ▶ Iowa		
<b>42a</b> The organization's books are in care of ▶ Usha Balakrishnan, CEO, CARTHA Telephone no. ▶ 319-248-9625		
Located at ▶ 85 Leamer Court, Iowa City, IA ZIP + 4 ▶ 52246-3202		
<b>b</b> At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ▶ . . . . .		<input checked="" type="checkbox"/>
See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
<b>c</b> At any time during the calendar year, did the organization maintain an office outside the United States? If "Yes," enter the name of the foreign country ▶ . . . . .		<input checked="" type="checkbox"/>
<b>43</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the tax year . . . . . ▶ <b>43</b>		
<b>44a</b> Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ . . . . .		<input checked="" type="checkbox"/>
<b>b</b> Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ . . . . .		<input checked="" type="checkbox"/>
<b>c</b> Did the organization receive any payments for indoor tanning services during the year? . . . . .		<input checked="" type="checkbox"/>
<b>d</b> If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . . .		
<b>45a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .		<input checked="" type="checkbox"/>
<b>b</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ. See instructions . . . . .		<input checked="" type="checkbox"/>



- 46** Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .

	Yes	No
<b>46</b>		<input checked="" type="checkbox"/>

**Part VI Section 501(c)(3) Organizations Only**

All section 501(c)(3) organizations must answer questions 47–49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI ☐

- 47** Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .

	Yes	No
<b>47</b>		<input checked="" type="checkbox"/>

- 48** Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .

<b>48</b>		<input checked="" type="checkbox"/>
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- 49a** Did the organization make any transfers to an exempt non-charitable related organization? . . . . .

<b>49a</b>		<input checked="" type="checkbox"/>
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- b** If "Yes," was the related organization a section 527 organization? . . . . .

<b>49b</b>		<input checked="" type="checkbox"/>
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- 50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
None				

**f** Total number of other employees paid over \$100,000 . . . . . **0**

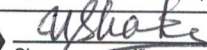
- 51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation
None		

**d** Total number of other independent contractors each receiving over \$100,000 . . . . . **0**

- 52** Did the organization complete Schedule A? **Note:** All section 501(c)(3) organizations must attach a completed Schedule A . . . . . ☒ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**  **Signature of officer** . . . . . **Feb. 3, 2020** **Date**  
**Usha R. Balakrishnan, President/CEO, CARTHA**  
**Type or print name and title**

**Paid Preparer Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name	Firm's EIN			
Firm's address	Phone no.			

May the IRS discuss this return with the preparer shown above? See instructions . . . . . ☐ Yes ☐ No



**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2019**

**Open to Public  
Inspection**

Name of the organization  
**CARTHA**

Employer identification number  
**20-5547610**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33<sup>1</sup>/<sub>3</sub>% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33<sup>1</sup>/<sub>3</sub>% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations . . . . .
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	6964	4277	30075	27349	30901	99566
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .	0	0	0	0	0	0
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .	0	0	0	0	0	0
<b>4 Total.</b> Add lines 1 through 3 . . . . .	6964	4277	30075	27349	30901	99566
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						9
<b>6 Public support.</b> Subtract line 5 from line 4 . . . . .						99557

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>7</b> Amounts from line 4 . . . . .	6964	4277	30075	27349	30901	99566
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources . . . . .	0	0	0	0	0	0
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .	0	0	0	0	0	0
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .	0	0	0	0	0	0
<b>11 Total support.</b> Add lines 7 through 10 . . . . .						99566
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . .						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	99 %
<b>15</b> Public support percentage from 2018 Schedule A, Part II, line 14 . . . . .	<b>15</b>	98 %
<b>16a 33<sup>1</sup>/<sub>3</sub>% support test—2019.</b> If the organization did not check the box on line 13, and line 14 is 33 <sup>1</sup> / <sub>3</sub> % or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . .		<input checked="" type="checkbox"/>
<b>b 33<sup>1</sup>/<sub>3</sub>% support test—2018.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 <sup>1</sup> / <sub>3</sub> % or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
<b>17a 10%-facts-and-circumstances test—2019.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
<b>b 10%-facts-and-circumstances test—2018.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .		<input type="checkbox"/>



**Response to Form 990EZ-Part I, Line 16, Other expenses**

- Expenses of \$100 relate to sponsorship support provided to the nonprofit UNA-Johnson County, IA for its "Night of 1,000 Dinners" event held in honor of International Women's Day in March 2019.
- Expenses of \$435 relates to sponsorship of lunch in May 2019 during the Iowa N.E.W. Leadership Institute organized by WRAC at the University of Iowa. The program was aimed at building public leadership skills among 36 first-generation college-goers from across Iowa. CARTHA Board Member Judy Leavitt and past Board Member Assata Caldwell joined CARTHA Founder in making presentations during this luncheon program.
- Sponsorship support (\$500) was provided in December 2019 for Uptown Bill's which provides community socialization and art programs aimed at inclusion of persons with varying abilities.
- CARTHA-Iowa Corridor Sangeet (ICS) Partnership Program, launched in 2017, is funded by Humanities Iowa, private donors, local banks, and the University of Iowa, this ICS program focuses on Bollywood-related and Indian Classical musicians and artists that combine educational and historical features of these art forms to connect people through music and memories. Enormous volunteer time is invested by dozens of people to make these programs happen and the programs are publicized under the leadership of Nitin Karandikar who is a CARTHA Ambassador and the Founding Director of this ICS Partnership Program. Each event is kept free and open to the public, and these events were enthusiastically attended by around 100-400 people. [www.facebook.com/icsangeet](http://www.facebook.com/icsangeet). CARTHA provides snacks and tea for attendees, and pays the honorarium and fees for the performing musicians and artists; and reimburses for the performers' travel expenses to make the trip to Iowa City and pays for the performers' food and lodging expenses during their stay in Iowa City. Expenses of \$12,289 were incurred for four programs held in 2019.

**Response to Form 990EZ-Part III, Line 31, Other program services**

- Expenses (\$260) relate to purchase of 30 "Room on our Rock" books for Collaborative Literacy pilot program, with CARTHA paying for 15 books and receiving a match of another 15 books from Usborne Publishers.

**Responses to Form 990EZ-Part III: Program Service Accomplishments**

- As a US 501c3 public charity founded in 2006 in Iowa City, Iowa, CARTHA's educational mission is to cultivate Collaborative Doers for Humanity.
- CARTHA (meaning *doer* in Sanskrit) stands for Collaborative Arts in Research Translation for Human Advancement. CARTHA designs, organizes, or sponsors Glocalizer dialogues and Fellowship programs in the areas of Social Innovation, Healthfulness, and Fusion Philanthropy.
- Volunteers tend to usually self-select themselves into CARTHA through key question: ***What are your aspirations for humanity?***
- CARTHA attempts to address health issues that are not easily resolved either by formal medical/public health infrastructure or traditional societal (family) frameworks. Certain health issues that affect people in many ways across different professions, cultures, regions, and ages (e.g., Loneliness and the value of re-socialization capacities; Sleep disorders and the importance for daily restful sleep) seem to demand novel types of collaborators who can help tap the tacit wisdom of populations via cross-sector community partnerships. Thus, we bring together a range of professionals, academics, students, and public citizens (Carthans) into intergenerational volunteer teams that support our current programs and help advance our longer-term vision.



## GLOCALIZERS & FELLOWSHIPS IN 2019

- Based on our successful programming in 2017-2018, the Humanities Iowa Council awarded CARTHA with a second grant totaling \$20,000 for the two-year 2019-20 cycle in support of the Iowa Corridor Sangeet (ICS) Partnership Program. Led by ICS Founder and Program Director, CARTHA Ambassador Dr. Nitin Karandikar recruited dozens of volunteers and organized four fantastic ICS programs in 2019:
  - April 9: Hindustani Classical Vocalist performed by Dr. Ashwini Bhide Deshpande;
  - May 7: B&W Bollywood Concert by Samir & Dipalee, Inc held at City High School Auditorium
  - September 19: Sarod Concert by Pandit Tejendra Narayan Majumdar at the Voxman Recital Hall, in partnership with the University of Iowa School of Music.
  - October 14: Padhye Ventriloquism Show for children & adults held at City High School Auditorium. CARTHA submits an activity summary to Humanities Iowa as part of the granting requirements.
- CARTHA Board Member Judy Leavitt attends Johnson County United Nations Association event for International Women's Day ("A Night of Thousand Dinners") on March 13.
- In addition to CARTHA's programs addressing loneliness by encouraging various platforms for re-socialization to occur through music and memory-sharing, we began to look into the topic of Restful Sleep and, in particular, how a diverse group of people could be brought together to share their practical tips on how they/their families try to address issues relating to sleep disorders.
- May 16: Judy Leavitt and Lois Bartelme join as Usha's guests when Kirkwood Community College's new president Dr. Lori Sundberg speaks at the Iowa City Noon Rotary Club.
- May 19 thru 24: Usha served as Faculty-in-Residence at N.E.W. Leadership Institute at the University of Iowa College of Education. About 36 college-aged women from across Iowa gather to learn about careers and/or undertaking board service, etc. Judy Leavitt and Assata Caldwell joined at CARTHA sponsored lunch; and 7 Rotarians joined at Rotary Club-sponsored lunch.
- Designated 2019 CARTHA Fellows Susan Graves and Aida Mengistu.
- Through introductions via CARTHA and CARTHA Council of Advisor Sanjana Raghavan, Susan began volunteering at The Bird House/Hospice Home of Johnson County soon after graduating from Des Moines University with a PA degree focusing on Palliative Care.
- Aida Mengistu was selected as CARTHA's very-first "Community Care" Fellow. Aida visited with CARTHA Board Member Roger Williamson as well as various nonprofits in the Iowa City area. She was a participant in the Iowa N.E.W. Leadership program. Aida was glad to go through Board Member Judy Leavitt's "Well on your Way" framework for goal-setting and career/life planning.
- Tsneem Younis, an INL participant was designated as the 2020 CARTHA Community Care Fellow.
- With Azeez Butali and Nnenna Mba-Oduwusi, CARTHA began to explore how healthcare apps could be utilized in greater measure to prevent suicides among teenagers whether in the US or Nigeria or India.
- At CARTHA Founder's recommendation, our 2013 CARTHA Fellow Michael Moonjely received a \$1,000 Scholarship from Iowa City Noon Rotary Club for Fall Semester Study Abroad in Spain.
- With John Bukacek, CARTHA began to explore a pilot Collaborative Literacy program to identify and utilize a collection of children's books that feature some aspects of collaborative traits. First book in such a series was identified—it is titled "Room On Our Rock."
- CARTHA Founder reached out to several professionals, academic administrators, and Rotarians in the Sarasota and Tampa area to develop a partnership framework and programmatic vision. She arranged for Tom Cook to speak about the Ponseti Method for Clubfoot Treatment at the Rotary Club of Sarasota Bay on April 30. Further discussions will continue into 2020 to design programs with administrators at the University of South Florida-Sarasota-Manatee campus as well as Rotary District Governor Elect of District 6960 (comprising 58 Rotary Clubs in Southwest Florida).



**AGENCY ENDOWMENT FUND:** Since 2016, the CARTHA Fund to support Partnerships in Social Innovation is an Agency Endowment Fund at the Community Foundation of Johnson County-Iowa.

**ANNUAL BOARD MEETING:** To celebrate the beginning of CARTHA's 13<sup>th</sup> year, we held our Board Meeting as an Aspirations Gathering on Friday, Sep. 13, 2019 at the Iowa City Public Library.

**Response to Part IV: List of Officers, Directors, Trustees of CARTHA**

- **Usha Balakrishnan, Founder, President/CEO, and Board Chair since 2006**

85 Leamer Court, Iowa City, IA 52246 / Email: [usha.balakrishnan@gmail.com](mailto:usha.balakrishnan@gmail.com)

- **Lois Bartelme – Board Member since 2019**

3060 Terrace Lake Drive, Crawfordsville, IA 52621 / Email: [loisbart@aol.com](mailto:loisbart@aol.com)

- **Linda Harrar – Board Member since 2012**

10 Oxbow Road, Wayland, MA 01778 / Email: [ldharrar@aol.com](mailto:ldharrar@aol.com)

- **Luke Juran – Board Member since 2019**

105 Ashton Court, Christiansburg, VA 24073 / Email: [lukejuran@yahoo.com](mailto:lukejuran@yahoo.com)

- **Judith Leavitt – Board Member since 2016**

2209 Jessica Lane, Coralville, IA 52241 / Email: [Leavitt.j47@gmail.com](mailto:Leavitt.j47@gmail.com)

- **Roger Williamson – Board Member since 2016**

4235 Turkey Creek Rd NE, Iowa City, IA 52240 / Email: [roger-williamson@uiowa.edu](mailto:roger-williamson@uiowa.edu)

**Response to Part V: Lines 35a and 35b**

CARTHA did not receive any unrelated business income in 2019.